

**Bereskin & Parr**  
INTELLECTUAL PROPERTY LAW

May 20, 2008

Our File No: 11483-174

**INTERVIEW SUMMARY**

The Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
U.S.A.

Dear Sir:

**Re: U.S. Patent Application No. 10/828,269**  
**RISK MANAGEMENT SYSTEM AND METHOD PROVIDING RULE-BASED**  
**EVOLUTION OF A PORTFOLIO OF INSTRUMENTS**  
**Filing Date: April 21, 2004**  
**Applicants: DEGRAAF, Jim**

---

This is further to a telephone interview between the Examiner and the Applicants' Representative (Kendrick Lo) that was held on April 15, 2008. Claims 11 and 18 were discussed and an agreement with respect to the claims was reached. The Examiner agreed to make an Examiner's Amendment to the claims and specification, as reflected in the Notice of Allowance mailed May 6, 2008.

Respectfully submitted,  
BERESKIN & PARR  
Agents for the Applicants



per: Kendrick Lo  
Registration No. 54,948  
/sc